## **PCT**

## WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



#### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT

ATTENDED TO LEGISLOS		NDER THE PATENT COUPERATION TREATT (PCT)
(51) International Patent Classification 7:		(11) International Publication Number: WO 00/33857
A61K 35/78, A61P 35/00	A1	(43) International Publication Date: 15 June 2000 (15.06.00)
(21) International Application Number: PCT/US  (22) International Filing Date: 7 December 1999 (  (30) Priority Data: 60/111,271 7 December 1998 (07.12.98)  (71) Applicant (for all designated States except US): ECC TECHNOLOGIES, INC. [US/US]; Suite 202, 318 Lane, Franklin, TN 37067 (US).  (72) Inventors; and (75) Inventors/Applicants (for US only): BESSETTE, State [US/US]; 873 Nialta Lane, Brentwood, TN 370 ENAN, Essam, E. [US/US]; 20205 Wyndchast Franklin, TN 37067 (US).  (74) Agents: GADIANO, Willem, F. et al.; McDermott, Emery, 600 13th Street, NW, Washington, DC 200 (US).	O7.12.9  OSMAR Seaboa  Even, M 67 (US e Circle	BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  Published  With international search report.  Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.
		·
(54) Title: CANCER TREATMENT COMPOSITION AN	ND ME	HOD USING NATURAL PLANT ESSENTIAL OILS
Pharmaceutical compositions containing plant essentiand treatment of soft tissue cancer in mammals.	al oils,	atural or synthetic, or mixtures or derivatives thereof, for the prevention
·		

#### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

4.7	4 Mary to	710				_	
AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav	TM	Turkmenistan
BF	Burkina Faso	GR	Greece		Republic of Macedonia	TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	ΙT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Кепуа	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		
					• •		

# CANCER TREATMENT COMPOSITION AND METHOD USING NATURAL PLANT ESSENTIAL OILS

#### **CROSS REFERENCE TO RELATED APPLICATION**

This application claims the benefit of U.S. Provisional Patent Application No. 60/111,271, filed December 7, 1998, the entire disclosure of which is incorporated herein by reference.

#### **FIELD OF THE INVENTION**

The present invention relates, in general, to therapeutically effective pharmaceutical compositions containing plant essential oil compounds, and methods for using same for prophylactically or therapeutically treating of soft tissue cancers in mammals, including humans, such as, for example, breast cancer.

#### **BACKGROUND OF THE INVENTION**

Breast cancer is a proliferative disease of mammary epithelial cells and estrogen has been shown to stimulate cell proliferation of these cells both in culture and in mice (Soto and

Sonnenschein, 1985; Osborne, 1988). Xenoestrogens have been proposed to stimulate cell proliferation through binding and activating estrogen receptors (ERs) (Miller et al., 1993; Hoffman, 1992). The incidence of breast cancer has been steadily rising during the past two or three decades, a trend characterized by increasing rates among estrogenresponsive tumors, by continuing increases among older women, and by growing numbers in both developed and developing countries (Harris et al., 1992). Between 1973-1980, the incidence of breast cancer in the United States increased a modest 8% among women under 50 years of age, while it rose 32.1% among women in the age group of 50 years or older (Ries et al., 1991). This upward shift is consistent with the historical pattern of accumulation of organochlorine insecticide residues (xenoestrogens) in the environment (Mussalo-Rauhamaa et al., 1990; Wolff et al., 1993; Davis et al., 1993). Breast cancer is also the second leading cause of cancer deaths in women and it is estimated that in 1998, there will be an additional 43,900 deaths due to breast cancer. Environmental estrogens or endocrine disruptors have been suggested to play a role in the etiology or promotion of breast cancer (Davis et al., 1993; Dewailly et al., 1995). Experimental evidence reveals that xenoestrogens affect estrogen production and metabolism and are among the risk factors that cause breast cancer (Nelson, 1974; Berthois et al., 1986; Henderson et al., 1993; Jobling et al., 1996; Dees et al., 1997). Most of the known risk factors for breast cancer, which at least account for 30% of cases

(Henderson et al., 1993) are linked with total life-time exposure to reproductive chemicals such as estrogen and xenoestrogens.

It appears evident that soft tissue cancer in mammals is increasing every year as a result of increased estrogen levels and increased exposure to environmental xenoestrogens. For example, the number of prescriptions of estrogen for women in menopause is rapidly increasing, presently estimated at 50,000,000 prescriptions annually in the United States alone. This increasing use of estrogen partially accounts for the higher risk of breast cancer in both young and middle-aged women. Estrogen is present in all mammals and is essential in women for reproductive organs such as ovary, uterus, breast, etc. In men, however, estrogen is required for sperm production and maturation. The abusive use of estrogen prescribed for women is at least partially responsible for the development of soft tissue cancers, especially breast cancer. It is therefore desirable to antagonize or counteract the adverse effects of estrogen in women.

The current FDA-approved treatments, e.g., tamoxifen, in the United States are effective to some extent in some of the female population in antagonizing the adverse effects of estrogen. Unfortunately, these treatments are not totally effective and may themselves cause additional health related effects, such as uterine cancer. Thus, if one could identify compounds that would make the current treatments more effective, or would work in conjunction with, or in lieu of, the present treatments, it is possible some of these adverse side effects would be alleviated or even eliminated. A possible source of alternative treatments are natural, non-toxic compounds. It is proposed that these compounds would advantageously provide for safer and more effective treatments.

The use of certain monoterpenoid plant essential oils (alpha-terpineol, linalool, and limonene) is suggested as a potential treatment for breast cancer. These monoterpenoids however are not totally effective and have been proven to be weak anti-proliferative cancer products. In addition, these data do not suggest the capability of these compounds to antagonize of action of estrogen. This may raise the question of how this product may interact in women with estrogen supplement.

Accordingly, there is a great need for novel pharmaceutical compositions containing non-toxic ingredients that may be effectively used in the prevention or treatment of soft tissue cancer in mammals.

#### **SUMMARY OF THE INVENTION**

A primary object of the present invention is to provide novel compositions that contain certain plant essential oils, natural or synthetic in source, or mixtures or derivatives thereof, as a prophylactic for, or a treatment of, soft tissue cancer.

The above and other objects are accomplished by the present invention which is directed to novel pharmaceutical compositions containing at least one plant essential oil compound, including mixtures or derivatives thereof, which are synthetically made or obtained from natural sources. The present invention is also directed to methods for using such novel pharmaceutical compositions for prophylactically or therapeutically treating soft tissue cancers.

Additional objects and attendant advantages of the present invention will be set forth, in part, in the description that follows, or may be learned from practicing or using the present invention. The objects and advantages may be realized and attained by means of the instrumentalities and combinations particularly recited in the appended claims. It is to be understood that the foregoing general description and the following detailed description are exemplary and explanatory only and are not to be viewed as being restrictive of the invention, as claimed.

#### **DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS**

All patents, patent applications and literatures cited in this description are incorporated herein by reference in their entirety. In the case of inconsistencies, the present disclosure, including definitions, will prevail.

In a preferred embodiment, the present invention provides a novel pharmaceutical composition for preventing or treating cancer, the composition comprising at least one plant essential oil compound including mixtures or derivatives of plant essential oil compounds derived from either natural or synthetic sources.

The specific plant essential oils disclosed herein or derivative thereof comprise a monocyclic, carbocyclic ring structure having six-members and substituted by at least one oxygenated or hydroxyl functional moiety. Examples of plant essential oils encompassed within the present invention, include, but are not limited to, members selected from the group consisting of aldehyde C16 (pure), amyl cinnamic aldehyde, amyl salicylate, anisic aldehyde, benzyl alcohol, benzyl acetate, cinnamaldehyde, cinnamic alcohol, α-terpineol, carvacrol, carveol, citral, citronellal, citronellol, p-cymene, diethyl phthalate, dimethyl salicylate, dipropylene glycol, eucalyptol (cineole), eugenol, iso-eugenol, galaxolide, geraniol, guaiacol, ionone, d-limonene, menthol, methyl anthranilate, methyl ionone, methyl salicylate, α-phellandrene, pennyroyal oil perillaldehyde, 1- or 2-phenyl ethyl alcohol, 1- or 2-phenyl ethyl propionate, piperonal, piperonyl acetate, piperonyl alcohol,

D-pulegone, terpinen-4-ol, terpinyl acetate, 4-tert butylcyclohexyl acetate, thyme oil, thymol, metabolites of trans-anethole, vanillin, ethyl vanillin, and the like. As these plant essential oil compounds are known and used for other uses, they may be prepared by a skilled artisan by employing known methods.

The above plant essential oil compounds of the present invention may be purchased from conventional sources, may be readily isolated from specific plants or trees and purified (isolated) or may be synthesized using conventional techniques. Advantageously, these compounds may be conveniently synthesized from readily available starting materials. The relative ease with which the compositions of the present invention can be synthesized represents an enormous advantage in the large-scale production of these compounds.

It will be appreciated that the therapeutically-active plant essential oil compounds of the present invention may be modified or derivatized by appending appropriate functionalities, i.e., functional groups, to enhance selective biological properties. Such modifications are known in the art and include those that increase biological penetration into a given biological compartment (e.g., blood, lymphatic system, central nervous system), increase oral availability, increase solubility to allow administration by injection, alter metabolism and alter rate of excretion. In addition, the plant essential oil compounds may be altered to pro-drug form such that the desired therapeutically-active form of the compound is created in the body of the patient as the result of the action of metabolic or other biochemical processes on the pro-drug. Some examples of pro-drug forms include ketal, acetal, oxime, and hydrazone forms of compounds which contain ketone or aldehyde groups.

Moreover, the therapeutically-effective plant essential oil compounds of the present invention may contain one or more asymmetric carbon atoms and thus may occur as racemates and racemic mixtures, single enantiomers, diastereomeric mixtures and individual diastereomers. Each stereogenic carbon may be of the R or S configuration. All such isomeric forms of these compounds are expressly included within the purview of the present invention.

As will be appreciated, the compositions and method of the present invention include pharmaceutical compositions that comprise at least one plant essential oil, and pharmaceutically acceptable salts thereof, in combination with any pharmaceutically acceptable carrier, adjuvant or vehicle. The term "pharmaceutically acceptable carrier or adjuvant" refers to a carrier or adjuvant that may be administered to a patient, together with a plant essential oil compound of the present invention, and which does not destroy

the pharmacological activity thereof and is nontoxic when administered in doses sufficient to deliver a therapeutic amount of the compound.

Pharmaceutically acceptable salts of the plant essential oil compounds of this invention include those derived from pharmaceutically acceptable inorganic and organic acids and bases. Examples of suitable acid salts include, without limitation, acetate, adipate, alginate, aspartate, benzoate, benzenesulfonate, bisulfate, butyrate, citrate, camphorate, camphorsulfonate, cyclopentanepropionate, digluconate, dodecylsulfate, ethanesulfonate, formate, fumarate, glucoheptanoate, glycerophosphate, glycolate, hemisulfate, heptanoate, hexanoate, hydrochloride, hydrobromide, hydroiodide, 2-hydroxyethanesulfonate, lactate, maleate, malonate, methanesulfonate, 2-naphthalenesulfonate, nicotinate, nitrate, oxalate, palmoate, pectinate, persulfate, 3-phenylpropionate, phosphate, picrate, pivalate, propionate, salicylate, succinate, sulfate, tartrate, thiocyanate, tosylate and undecanoate. Other acids, such as oxalic, while not in themselves pharmaceutically acceptable, may be employed in the preparation of salts useful as intermediates in obtaining the compounds of the invention and their pharmaceutically acceptable acid addition salts.

Salts derived from appropriate bases include alkali metal (e.g., sodium), alkaline earth metal (e.g., magnesium), ammonium and N-(C<sub>1-4</sub> alkyl)4+ salts. The present invention also envisions the quaternization of any basic nitrogen-containing groups of the compounds disclosed herein. Water or oil-soluble or dispersible products may be obtained by such quaternization.

Further, pharmaceutically acceptable carriers, adjuvants and vehicles that may be used in the pharmaceutical compositions of this invention include, but are not limited to, ion exchangers, alumina, aluminum stearate, lecithin, self-emulsifying drug delivery systems (SEDDS) such as d.alpha-tocopherol polyethyleneglycol 1000 succinate, or other similar polymeric delivery matrices or systems, serum proteins, such as human serum albumin, buffer substances such as phosphates, glycine, sorbic acid, potassium sorbate, partial glyceride mixtures of saturated vegetable fatty acids, water, salts or electrolytes, such as protamine sulfate, disodium hydrogen phosphate, potassium hydrogen phosphate, sodium chloride, zinc salts, colloidal silica, magnesium trisilicate, polyvinyl pyrrolidone, cellulose-based substances, polyethylene glycol, sodium carboxymethylcellulose, polyacrylates, waxes, polyethylene-polyoxypropylene-block polymers, polyethylene glycol and wool fat. Cyclodextrins such as alpha-, beta-, and gamma-cyclodextrin, or chemically modified derivatives such as hydroxyalkylcyclodextrins, including 2- and 3-hydroxypropyl-beta-cyclodextrins, or other

solublized derivatives may also be advantageously used to enhance delivery of therapeutically-effective plant essential oil compounds of the present invention.

The pharmaceutical compositions of this invention may be administered orally, parenterally, by inhalation spray, topically, rectally, nasally, buccally, vaginally or via an implanted reservoir, however, oral administration or administration by injection is preferred. The pharmaceutical compositions of this invention may contain any conventional non-toxic pharmaceutically-acceptable carriers, adjuvants or vehicles. In some cases, the pH of the formulation may be adjusted with pharmaceutically acceptable acids, bases or buffers to enhance the stability of the formulated compound or its delivery form. The term parenteral as used herein includes subcutaneous, intracutaneous, intracutaneous, intravenous, intramuscular, intraarticular, intrasynovial, intrasternal, intrathecal, intralesional and intracranial injection or infusion techniques.

The pharmaceutical compositions may be in the form of a sterile injectable preparation, for example, as a sterile injectable aqueous or oleaginous suspension. This suspension may be formulated according to techniques known in the art using suitable dispersing or wetting agents (such as, for example, Tween 80) and suspending agents. The sterile injectable preparation may also be a sterile injectable solution or suspension in a non-toxic parenterally-acceptable diluent or solvent, for example, as a solution in 1,3-butanediol. Among the acceptable vehicles and solvents that may be employed are mannitol, water, Ringer's solution and isotonic sodium chloride solution. In addition, sterile, fixed oils are conventionally employed as a solvent or suspending medium. For this purpose, any bland fixed oil may be employed including synthetic mono- or diglycerides. Fatty acids, such as oleic acid and its glyceride derivatives are useful in the preparation of injectables, as are natural pharmaceutically-acceptable oils, such as olive oil or castor oil, especially in their polyoxyethylated versions. These oil solutions or suspensions may also contain a long-chain alcohol diluent or dispersant such as Ph. Helv or a similar alcohol.

The pharmaceutical compositions of the present invention may be orally administered in any orally acceptable dosage form including, but not limited to, capsules, tablets, and aqueous suspensions and solutions. In the case of tablets for oral use, carriers which are commonly used include lactose and corn starch. Lubricating agents, such as magnesium stearate, are also typically added. For oral administration in a capsule form, useful diluents include lactose and dried corn starch. When aqueous suspensions are administered orally, the active ingredient is combined with emulsifying and suspending agents. If desired, certain sweetening and/or flavoring and/or coloring agents may be added.

The pharmaceutical compositions of the present invention may also be administered in the form of suppositories for rectal administration. These compositions can be prepared by mixing a compound of this invention with a suitable non-irritating excipient which is solid at room temperature but liquid at the rectal temperature and therefore will melt in the rectum to release the active components. Such materials include, but are not limited to, cocoa butter, beeswax and polyethylene glycols.

Although rare, topical administration of the pharmaceutical compositions of the present invention is especially useful when the desired treatment involves areas or organs readily accessible by topical application. For application topically to the skin, the pharmaceutical composition should be formulated with a suitable ointment containing the active components suspended or dissolved in a carrier. Carriers for topical administration of the compounds of this invention include, but are not limited to, mineral oil, liquid petroleum, white petroleum, propylene glycol, polyoxyethylene polyoxypropylene compound, emulsifying wax and water. Alternatively, the pharmaceutical composition can be formulated with a suitable lotion or cream containing the active compound suspended or dissolved in a carrier. Suitable carriers include, but are not limited to, mineral oil, sorbitan monostearate, polysorbate 60, cetyl esters wax, cetearyl alcohol, 2-octyldodecanol, benzyl alcohol and water. The pharmaceutical compositions of this invention may also be topically applied to the lower intestinal tract by rectal suppository formulation or in a suitable enema formulation. Topically-transdermal patches are also included in this invention.

The pharmaceutical compositions of this invention may be administered by nasal aerosol or inhalation. Such compositions are prepared according to techniques well-known in the art of pharmaceutical formulation and may be prepared as solutions in saline, employing benzyl alcohol or other suitable preservatives, absorption promoters to enhance bioavailability, fluorocarbons, and/or other solubilizing or dispersing agents known in the art.

Another acceptable pharmaceutical preparation would be an encapsulated form of the plant essential oils, as is, or modified as per the prior descriptions. The walls of the capsules could be designed to release the plant essential oils rapidly, i.e. one minute, hour or day, or it could be designed to release over some designated period of time, i.e. days, weeks or months. The wall materials could be natural or synthetic polymers acceptable to the US FDA or composed of lipids or other suitable materials. These capsules could be delivered either orally or by injection and could be either water or oil based depending upon the desired method of use or required rate of release.

Dosage levels of between about 0.001 and about 100 mg/kg body weight per day, preferably between about 0.5 and about 75 mg/kg body weight per day of the active ingredient compound are useful in the prevention and treatment of soft tissue cancers. Typically, the pharmaceutical compositions of this invention will be administered from about 1 to about 5 times per day or alternatively, as a continuous infusion. Such administration can be used as a chronic or acute therapy. The amount of active ingredient that may be combined with the carrier materials to produce a single dosage form will vary depending upon the host treated and the particular mode of administration. A typical preparation will contain from about 5% to about 95% active compound (w/w). Preferably, such preparations contain from about 20% to about 80% active compound.

Upon improvement of a patient's condition, a maintenance dose of a compound, composition or combination of this invention may be administered, if necessary. Subsequently, the dosage or frequency of administration, or both, may be reduced, as a function of the symptoms, to a level at which the improved condition is retained when the symptoms have been alleviated to the desired level, treatment should cease. Patients may, however, require intermittent treatment on a long-term basis upon any recurrence of disease symptoms.

The prophylactic use of the present invention may require the daily intake of a prophylactically-effective amount.

As the skilled artisan will appreciate, lower or higher doses than those recited above may be required. Specific dosage and treatment regimens for any particular patient will depend upon a variety of factors, including the activity of the specific compound employed, the age, body weight, general health status, sex, diet, time of administration, rate of excretion, drug combination, the severity and course of a cancer, the patient's disposition to cancer and the judgment of the treating physician.

The compositions and methods of the present invention will be further illustrated in the following, non-limiting Examples. The Examples are illustrative of various embodiments only and do not limit the claimed invention regarding the materials, conditions, weight ratios, process parameters and the like recited herein. When reading the following Examples, it will be appreciated that the growth and proliferation of MCF-7 cells are strictly estrogen-dependent. In the presence of estrogen, the cells grow, confluent and form foci, the landmark of tumor diagnosis. In the absence of estrogen, the growth of these cells is slow and the formation of foci is rare.

#### **EXAMPLE 1**

#### Antiproliferative Effect on Human Epithelial Breast Cancer Cells (MCF-7)

MCF-7 cells were cultured in growth medium supplemented with 10% fetal bovine serum (FBS). At 85% confluence, cells were sub-cultured in 5% FBS serum stripped medium, phenol red free for 24 hours prior to the treatment of the test chemical. After 24 hours of treatment cell proliferation was measured using <sup>3</sup>H-thymidine incorporation. These test chemicals were tested in the presence and absence of estrogen to address if they have anti-estrogenic activity in addition to their antiproliferative effect. The study was done in triplicate and a control was used with solvent only. Control received solvent only at <0.1% ethanol. Estrogen was tested at 1 nM (=0.27 ng E<sub>2</sub>/ml). Exemplary plant essential oil compounds were tested at 50 ug/ml. Results are shown in Table 1.

. TABLE 1						
	<sup>3</sup> H-thymidine incorporation					
Test Chemical	dpm/ug protein	% of control	% of			
1001 Ollowing		value	estrogen			
			value			
Control	414.2	100				
Estrogen	658.6	158	100			
α-terpineol	480.5	116				
α-terpineol + estrogen	679.1	164	103			
Trans-anethole	434.9	105				
Trans-anethole + estrogen	589.8	142	89			
Carvacrol	207.2	50				
Carvacrol + estrogen	181.7	43	28			
Cinnamic alcohol	18.5	4				
Cinnamic alcohol + estrogen	220.8	53	34			
Eugenol	215.6	52				
Eugenol + estrogen	289.1	70	44			
Iso-eugenol	119	29				
Iso-eugenol + estrogen	164	40	25			
Thymol	97.3	23				
Thymol + estrogen	108.2	26	16			
Citronellai	85.2	20				
Citronellal + estrogen	284.0	69	43			

	TABLE 1					
<sup>3</sup> H-thymidine incorporation						
Test Chemical	dpm/ug protein	% of control value	% of estrogen value			
p-cymene	419.0	101				
p-cymene + estrogen	632.0	152	96			
Eucalyptol	398.0	96				
Eucalyptol + estrogen	568.0	137	86			
Methyl salicylate	444.0	107				
Methyl salicylate + estrogen	693.9	168	106			

#### **EXAMPLE 2**

#### **Antiproliferative Effect**

MCF-7 cells were cultured in growth medium supplemented with 10% fetal bovine serum (FBS). At 85% confluence, cells were sub-cultured in 5% FBS serum stripped medium, phenol red free for 24 hours prior to the treatment of the test chemical. After 24 hours of treatment cell proliferation was measured using <sup>3</sup>H-thymidine incorporation. These test chemicals were tested in the presence and absence of estrogen to address if they have anti-estrogenic activity in addition to their antiproliferative effect. The study was done in triplicate and a control was used with solvent only. Control received solvent only at <0.1% ethanol. Estrogen was tested at 1 nM (0.27 ng E<sub>2</sub>/ml). Plant essential oil compounds were tested at 50 ug/ml. Results are shown Table 2.

TABLE 2						
	3	<sup>3</sup> H-thymidine incorporation				
Test Chemical	dpm/μg protein	% of control value	% of estrogen value			
Control	438.1	100				
Estrogen	664.2	151.6	100			
α-terpineol	490.7	112.0	·			
α-terpineol + estrogen	636.7	145.3	96			
Guaiacol	712.3	162.6				
Guaiacol + estrogen	788.8	180.1	119			
R-(+)-Limonene	393.1	89.7				

	TABLE	2				
T4 011- 1	3	<sup>3</sup> H-thymidine incorporation				
Test Chemical	dpm/μg protein	% of control value	% of estrogen value			
R-(+)-Limonene + estrogen	522.6	119.3	79			
α-phellandrene	348.4	79.5				
α-phellandrene + estrogen	635.3	145.0	96			

Example 3

Dose-Response Effect on E₂-Induced Cell Growth

MCF-7 cells were cultured in growth medium supplemented with 10% fetal bovine serum (FBS). At 85% confluence, cells were sub-cultured in 6 well petri-dishes and supplemented with 5% FBS serum stripped medium, phenol red free for 24 hours prior to the treatment of different concentrations of the test chemicals. After 5 days of treatment cells were trypsinized, collected using Eppendorf microcentrifuge. The cell pellets were resuspended in 1% trypan blue and three aliquots (10 ul each) of the viable cell suspension were counted using a haemocytometer assay. Each sample was then counted three times and the data shown is the average of three counts. These test chemicals were tested in the presence of 10 nM estrogen (= 2.7 ng estrogen/ml). Two wells per test concentration were used. This experiment was repeated two times. Control received solvent only at <0.1% ethanol. Results are shown in Table 3.

TABLE 3								
Treatment Cell count x (10 <sup>4</sup> )/ml								
	well #1	well # 2	average	% of control				
Day 5. Control E <sub>2</sub> (10 nM)	22 36	22 28	22.0 32.0	100 145				
·				% anti-E₂				
E <sub>2</sub> /thymol (20 μg/ml)	10	14	12.0	62.50				
E <sub>2</sub> /thymol (10 μg/ml)	12	12	12.0	62.50				
E₂/thymol (5 μg/ml)	20	18	19.0	40.60				
E <sub>2</sub> /thymol (1 μg/ml)	32	32	32.0	00.00				
E <sub>2</sub> /isoeugenol (20 μg/ml)	10	10	10.0	69.00				
E₂/isoeugenol (10 μg/ml)	6	10	8.0	75.00				
E <sub>2</sub> /isoeugenol (5 μg/ml)	18	23	21.0	35.60				
<u> </u>	21	21	21.0	35.60				

E <sub>2</sub> /isoeugenol (1 μg/ml)				
E <sub>2</sub> /eugenol (20 μg/ml) E <sub>2</sub> /eugenol (10 μg/ml) E <sub>2</sub> /eugenol (5 μg/ml) E <sub>2</sub> /eugenol (1 μg/ml)	10 18 19 19	16 14 19 29	13.0 16.0 19.0 24.0	60.40 50.00 41.70 25.00
E₂/benzyl alcohol (50 μg/ml)	24	18	21.0	35.40
E₂/cinnamic aldehyde (50 μg/ml)	00	02	01.0	96.90

Our data show a dose-response relationship of plant essential oil compounds, and their antiestrogenicity against  $E_2$ -induced abnormal cell growth and proliferation in human epithelial breast cancer cells (MCF-7). These data demonstrated that thymol at low dose (5 ug/ml) provided 40% protection against the  $E_2$ -induced abnormal growth in cancer breast cells. In addition, these data also showed that eugenol (1 ug/ml) and isoeugenol (1 ug/ml) expressed 35% and 25% protection, respectively, against the  $E_2$ -induced abnormal growth in cancer breast cells (see example 3). Further, cinnamic aldehyde provided approximately 96% control against the  $E_2$ -induced abnormal growth in cancer breast cells at 50 ug/ml (see example 3).

These data shows, *inter alia*, that certain plant essential oils and combinations thereof are useful for anti-proliferative, anti-estrogenic and/or anti-mitogenic compounds that are useful for prophylactically or therapeutically treating soft tissue cancers.

Although illustrative embodiments of the present invention have been described in detail, it is to be understood that the present invention is not limited to those precise embodiments, and that various changes and modifications can be effected therein by one skilled in the art without departing from the scope and spirit of the invention as defined by the appended claims.

#### WHAT IS CLAIMED IS:

ancer in mammals, comprising at least one plant essential oil compound.

- (2.) The pharmaceutical composition of claim 1, wherein the plant essential oil compound is are selected from the group consisting of aldehyde C16 (pure), amyl cinnamic aldehyde, amyl salicylate, anisic aldehyde, benzyl alcohol, benzyl acetate, cinnamaldehyde, cinnamic alcohol, α-terpineol, carvacrol, carveol, citral, citronellal, citronellol, p-cymene, diethyl phthalate, dimethyl salicylate, dipropylene glycol, eucalyptol (cineole), eugenol, iso-eugenol, galaxolide, geraniol, guaiacol, ionone, d-limonene, menthol, methyl anthranilate, methyl ionone, methyl salicylate, α-phellandrene, pennyroyal oil, perillaldehyde, 1- or 2-phenyl ethyl alcohol, 1- or 2-phenyl ethyl propionate, piperonal, piperonyl acetate, piperonyl alcohol, D-pulegone, terpinen-4-ol, terpinyl acetate, 4-tert butylcyclohexyl acetate, thyme oil, thymol, metabolites of transanethole, vanillin, and ethyl vanillin.
- 3. The pharmaceutical composition of claim 1, further comprising a pharmaceutically acceptable carrier.
- 4. The pharmaceutical composition of claim 1, wherein the soft tissue cancer is breast cancer.
- 5. The pharmaceutical composition of claim 2, wherein the plant essential oil compound is eugenol.
- 6. The pharmaceutical composition of claim 2, wherein the plant essential oil compound is thymol.
- 7. The pharmaceutical composition of claim 2, wherein the plant essential oil compound is isoeugenol.
- 8. The pharmaceutical composition of claim 2, wherein the plant essential oil compound is benzyl alcohol.
- 9. The pharmaceutical composition of claim 2, wherein the plant essential oil compound is carvacrol.
- 10. The pharmaceutical composition of claim 2, wherein the plant essential oil compound is cinnamic alcohol.
- 11. The pharmaceutical composition of claim 2, wherein the plant essential oil compound is cinnamic aldehyde.
- 12. The pharmaceutical composition of claim 2, wherein the plant essential oil compound is citronellal.
- 13. The pharmaceutical composition of claim 2, wherein the plant essential oil compound is trans-anethole.

14. A method for treating cancer, comprising administering to a patient in need thereof a therapeutically effective amount of the composition of claim 1.

## INTERNATIONAL SEARCH REPORT

International A, pation No PCT/US 99/28888

			-,
A CLASSIFI IPC 7	ication of subject matter A61K35/78 A61P35/00		
According to	International Patent Classification (IPC) or to both national class	ification and IPC	
B. FIELDS S	EARCHED		
IPC 7	umentation searched (classification system followed by classific A61K		
Documentation	on searched other than minimum documentation to the extent the	at such documents are included in the fields	searched
Electronic dat	ta base consulted during the International search (name of data	base and, where practical, search terms us	ed)
C. DOCUMEN	NTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the	relevant passages	Relevant to claim No.
X	SYLVIE BARDON ET AL.: "MONOTER INHIBIT CELL GROWTH, CELL CYCLE PROGRESSION, AND CYCLIN D1 GENE IN HUMAN BREAST CANCER CELL LIN NUTRITION AND CANCER, vol. 32, no. 1, 1998, pages 1-7 XP000892114 LONDON, GB ISSN: 0163-5581 the whole document	EXPRESSION ES. "	1,3,4
X	FR 2 706 771 A (PELLETIER JACQU 30 December 1994 (1994-12-30) page 1, line 1 - line 47	ES)	1
Further	or documents are listed in the continuation of box C.	X Patent family members are liste	d in annex.
"A" document consider "E" earlier document which is citation of document other me "P" document later than	t which may throw doubts on priority claim(s) or cited to establish the publication date of another or other special reason (as specified) it referring to an oral disclosure, use, exhibition or	"T" later document published after the in or priority date and not in conflict wit cited to understand the principle or invention  "X" document of particular relevance; the cannot be considered novel or cannot involve an inventive step when the cannot be considered to involve an adocument of particular relevance; the cannot be considered to involve an adocument is combined with one or ments, such combination being obvi in the art.  "&" document member of the same pater	th the application but theory underlying the claimed invention of be considered to focument is taken alone a claimed invention inventive step when the nore other such document a person skilled on tamily
	May 2000	18/05/2000	ower topoli
Name and ma	ailing address of the ISA  European Patent Office, P.B. 5818 Patentiaan 2  NL - 2280 HV Rijewijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo ni,  Fax: (+31-70) 340-3016	Authorized officer  Rempp, G	

### INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 99/28888

Box I	Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)
This inte	emational Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. X	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:  Remark: Although claim 14 is directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2.	Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. 🗌	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)
This inte	mational Searching Authority found multiple inventions in this international application, as follows:
1.	As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2.	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.	As only some of the required additional search fees were timely paid by the applicant, this international Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4.	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark	on Protest  The additional search tees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.

#### INTERNATIONAL SEARCH REPORT

information on patent family members

International Ap., ation No PCT/US 99/28888

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
FR 2706771 A	30-12-1994	NONE	
		•	

# <u>Previous Doc</u> <u>Next Doc</u> <u>Go to Doc#</u> <u>First Hit</u>

☐ Generate Collection

L5: Entry 12 of 14

File: DWPI

Mar 4, 2005

DERWENT-ACC-NO: 2000-423217

DERWENT-WEEK: 200547

COPYRIGHT 2005 DERWENT INFORMATION LTD

TITLE: Composition for preventing or treating soft tissue cancer comprises plant

essential oil compound e.g. benzyl alcohol, menthol or cinnamic alcohol

INVENTOR: BESSETTE, S M; ENAN, E E; ENNAN, E E

PRIORITY-DATA: 1998US-111271P (December 7, 1998), 1999US-0455542 (December 7, 1999), 2002US-0190667 (July 9, 2002), 2004US-0759177 (January 20, 2004)

Search Selected Search ALL Clear

	<b></b>	Georgi, Georgia		1001	
PAT	ENT-FAMILY:				
	PUB-NO	PUB-DATE	LANGUAGE	PAGES	MAIN-IPC
	IN 200100588 P3	March 4, 2005	E	000	A61K035/78
	WO 200033857 A1	June 15, 2000	E	019	A61K035/78
	AU 200021670 A	June 26, 2000		000	
	NO 200102774 A	June 6, 2001		000	A61K000/00
	EP 1137426 A1	October 4, 2001	E	000	
	CZ 200101979 A3	October 17, 2001		000	
	BR 9916879 A	November 6, 2001		000	
	KR 2001080692 A	August 22, 2001		000	A61K035/78
	ZA 200104611 A	February 27, 2002 .		030	A61K000/00
	CN 1329498 A	January 2, 2002		000	
	HU 200104674 A2	June 28, 2002		000	A61K035/78
	JP 2002531512 W	September 24, 2002		024	A61K035/78
	US 20030017218 A1	January 23, 2003		006	A61K035/78
	US 20020182268 A1	December 5, 2002		006	A61K035/78
	MX 2001005641 A1	July 1, 2003		000	A61K035/78
	US 20040146595 A1	July 29, 2004 .		000	A61K035/78
	NZ 527850 A	July 30, 2004		000	A61K035/78
	US 6812258 B2	November 2, 2004		000	A61K031/7075
	RU 2252772 C2	May 27, 2005		000	A61K035/78

MX 2001005641 A1 , US 20040146595 A1 , NZ 527850 A INT-CL (IPC): <u>A61 K 0/00</u>; <u>A61 K 31/00</u>; <u>A61 K 31/025</u>; <u>A61 K 31/045</u>; <u>A61 K 31/05</u>; <u>A61 K 31/085</u>; <u>A61 K 31/11</u>; <u>A61 K</u>